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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/020,425
	Filing Date	December 7, 2001
	First Named Inventor	Mitsuaki Oshima
	Art Unit	2134
	Examiner Name	Paul E. Callahan
Total Number of Pages in This Submission 34	Attorney Docket No.	MTS-520US2

**RECEIVED**  
**APR 01 2003**  
**Technology Center 2100**

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
<b>Remarks:</b>  		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Firm or Individual	Allan Ratner, Reg. No. 19,717 Jack J. Jankovitz, Reg. No. 42,690	
Signature		
Date	April 1, 2003	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option

**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) \$220.00**Complete if Known**

Application Number	10/020,425
Filing Date	December 7, 2001
First Named Inventor	Mitsuaki Oshima
Examiner Name	Paul E. Callahan
Art Unit	2134
Attorney Docket No.	MTS-520US2

**RECEIVED****APR 01 2003****Technology Center 2100****METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number

18-0350

Deposit  
Account  
Name

RatnerPrestia

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below  
☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 0)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0	X	= 0
Independent Claims	-3** = 0	X	= 0
Multiple Dependent		X	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	\$110
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) **TERMINAL DISCLAIMER**

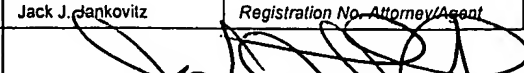
\$110

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ \$220.00)

**SUBMITTED BY Complete (if applicable)**

Name (Print/Type)	Allan Ratner	Registration No. Attorney/Agent	19,717	Telephone	(610) 407-0700
	Jack J. Jankovitz	Registration No. Attorney/Agent	42,690		
Signature				Date	April 1, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mitsuaki Oshima et al. : Art Unit: 2134  
Serial No.: 09/588,364 : Examiner: P. E. Callahan  
Filed: November 17, 1995 :  
For: MARK FORMING APPARATUS, METHOD OF :  
FORMING LASER MARK ON OPTICAL DISK, :  
REPRODUCING APPARATUS, OPTICAL DISK AND :  
METHOD OF PRODUCING OPTICAL DISK :

COPY

**COMMUNICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

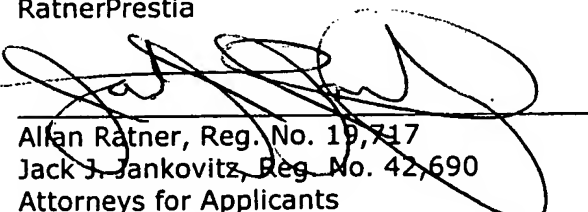
The undersigned surrendered to the Patent Office on November 22, 2002, an original Letters Patent No. 5,761,301. The original patent was given to Landon and Stark Associates who delivered it to Technology Center 2100.

Proof that the original patent was received in Technology Center 2100 on November 22, 2002 is shown in the attached copy of a postcard.

To the best knowledge of the undersigned, the original patent was delivered to the Technology Center 2100 on November 22, 2002. The Patent Office cannot find this original patent and the undersigned, therefore, believes that the original patent is now lost.

Respectfully Submitted,

RatnerPrestia



Alan Ratner, Reg. No. 19,717  
Jack J. Jankovitz, Reg. No. 42,690  
Attorneys for Applicants

JJJ/fp

Dated: December 20, 2002

Enclosure: Copy of postcard

Suite 301  
One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being hand delivered to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

December 20, 2002

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Date: December 20, 2002

Assistant Commissioner for Patents:

Please acknowledge receipt of the document(s) described below by imprinting the Patent and Trademark Office "date-stamp" hereon and returning this card to the addressee indicated on the reverse side.

FILE NO. MAT/MTS 580 US 1 FEE DUE? NO or YES: \$ -0-

NAME: M. Ophina et al.

☐ IDS and PTO Form 1449 (\_\_\_\_ pp.) (Supplemental: YES or NO) ☐ Copy of Search Report  
☐ Copy of \_\_\_\_ reference(s) ☐ Issued Claims (\_\_\_\_ pp.) & Drawings  
☐ Status Request ☐ Preliminary Amendment  
☐ Claim To Right of Priority ☐ Amendment (\_\_\_\_ pp.)  
☐ Response to Restriction Requirement ☐ Copy of Formalities Letter  
☐ Response to Missing Parts ☐ Certificate of Express Mailing ☐ RCE Transmittal  
☐ Form 1390 ☐ Priority Document(s) (CERTIFIED)  
☐ Declaration/POA (\_\_\_\_ pp.) ☐ Petition for Ext. of Time (\_\_\_\_ mo.)  
☐ Assignment & Form 1595 (\_\_\_\_ pp.) ☐ Fee Transmittal  
☐ Response to OA (\_\_\_\_ pp.) ☐ Issue Fee and Publication Fee  
☐ Petition to Make Special / For Revival ☐ Sheets of Drawings (Formal)  
☐ Submission of Formal Drawings ☐ -0- Check(s)  
☐ Certificate of Correction ☐ Form 1050 (Duplicate)  
☒ Communication ☐  
enclosures USSN: 09/588,364

Received

DEC 20 2002

Technology Center 2100